

به نام خدا

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Research Integrity

Dr. Neda Yavari
Assistant professor of Medical Ethics
Isfahan University of Medical Sciences

Why Research Ethics Matters?

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01

Protecting
Participant
Safety

02

Maintaining
Scientific
Integrity

06

Complying With
Legal and
Regulatory
Compliance

**REASONS WHY
RESEARCH
ETHICS MATTER**

03

Upholding
Human Rights
and Dignity

05

Building Trust in
Research and
Institutions

04

Ensuring Social
Responsibility

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Thalidomide Study (1961)



- **Thalidomide** was introduced to the market in the late 1950s and early 1960s by a German pharmaceutical company as a sedative and treatment for morning sickness in pregnant women.
- Over **10,000 children** were born with thalidomide-related birth defects including **severe birth defects**, particularly **limb abnormalities**, when taken during pregnancy.
- This study highlights the consequences of **inadequate drug testing and regulatory oversight**.

Why Research Ethics Matters?

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- A study conducted to evaluate retracted articles over a 10-year period showed that **at least 70,000** people participated in these studies and **health interventions were performed on them.**

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Joachim Boldt: An author with over two hundred retracted articles

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- In 2009, Joachim Boldt conducted a study examining the efficacy and safety of hydroxyethyl starch (HES) as a volume expander in critically ill patients.
- His study lacked proper institutional review board (IRB) approvals and contained fabrication and falsification

Joachim Boldt: An author with over two hundred retracted articles

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- **Meta-analyses** that included Boldt's studies initially suggested no increased risk associated with HES; however, when his data was excluded, subsequent analyses indicated a **significant increase in mortality and acute kidney injury linked to HES use**.
- This discrepancy highlighted how **fraudulent data** could **mislead clinical practice** and potentially harm patients.

Joachim Boldt: An author with over two hundred retracted articles

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- By 2018, a total of 96 publications associated with Boldt had been **retracted** due to issues related to **data integrity and ethical violations**.
- This included studies that had previously **influenced clinical guidelines regarding the use of HES**.

New Record of Retractions



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Dr. Andrew Wakefield's MMR Vaccine Study

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- Dr. Wakefield falsely claimed a link between the **MMR vaccine and autism** (published in 1998)
- His study involved 12 children for various developmental disorders. **Eight of these children were diagnosed with autism.**


Dr. Andrew Wakefield's MMR Vaccine Study

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THE LANCET

[This journal](#) [Journals](#) [Publish](#) [Clinical](#) [Global health](#) [Multimedia](#) [Events](#) [About](#)

CORRESPONDENCE · Volume 354, Issue 9182, P949-950, September 11, 1999

 [Download Full Issue](#)

MMR vaccination and autism

[Andrew J Wakefield](#)

[Affiliations & Notes](#)  [Article Info](#)  [Linked Articles \(1\)](#) 

The **paper was retracted in 2010** after investigations revealed **serious ethical violations**, including **data manipulation** and **undisclosed conflicts of interest**.

Dr. Andrew Wakefield's MMR Vaccine Study

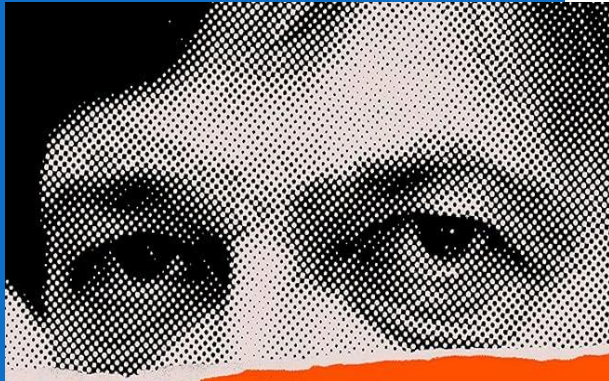
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- Wakefield was found guilty of **professional misconduct** by the General Medical Council and was struck off the UK medical register.
- Wakefield had **undisclosed financial interests**, including funding from lawyers involved in lawsuits against vaccine manufacturers.

Dr. Andrew Wakefield's MMR Vaccine Study

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- The publication sparked widespread fear about vaccines, leading to **a significant decline in vaccination rates** in the UK and other countries.
- Measles **vaccination coverage fell from 92% in 1995 to 80% in 2004**. This decline contributed to **outbreaks of measles and mumps**.



THE DOCTOR WHO FOOLED THE WORLD

Andrew Wakefield's war on vaccines

BRIAN DEER

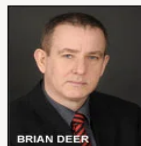
SCRIBE



Andrew Wakefield had his medical licence revoked in 2010

Fraud Behind the MMR Scare

BMJ Calls Wakefield's Study Linking MMR Vaccine to Autism 'Fraudulent'



BRIAN DEER

In a special [series of articles published in 2011 by BMJ](#), author Brian Deer exposes the data behind claims that launched a worldwide scare over the measles, mumps, and rubella vaccine and revealed how the appearance of a link with autism was manufactured at a London medical school. In an [accompanying editorial](#), Fiona Godlee and colleagues say that Andrew Wakefield's article linking the MMR vaccine and autism was based not on bad science but deliberate fraud. In addition, Brian Deer analyzes the similarities between the MMR scare and the ["Piltdown Man" case](#).

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The Nuremberg Trials (1946-47)

- **Their crimes included experiments involving:**
 - High altitude/low pressure
 - Freezing
 - Malaria
 - Sea water
 - Mustard gas
 - Twin tests
 - Sterilization
- **The Nazi regime exploited human beings by forcing them to participate in research without consent.**
- **The mortality rate of these war time research studies was typically 25 - 30%.**



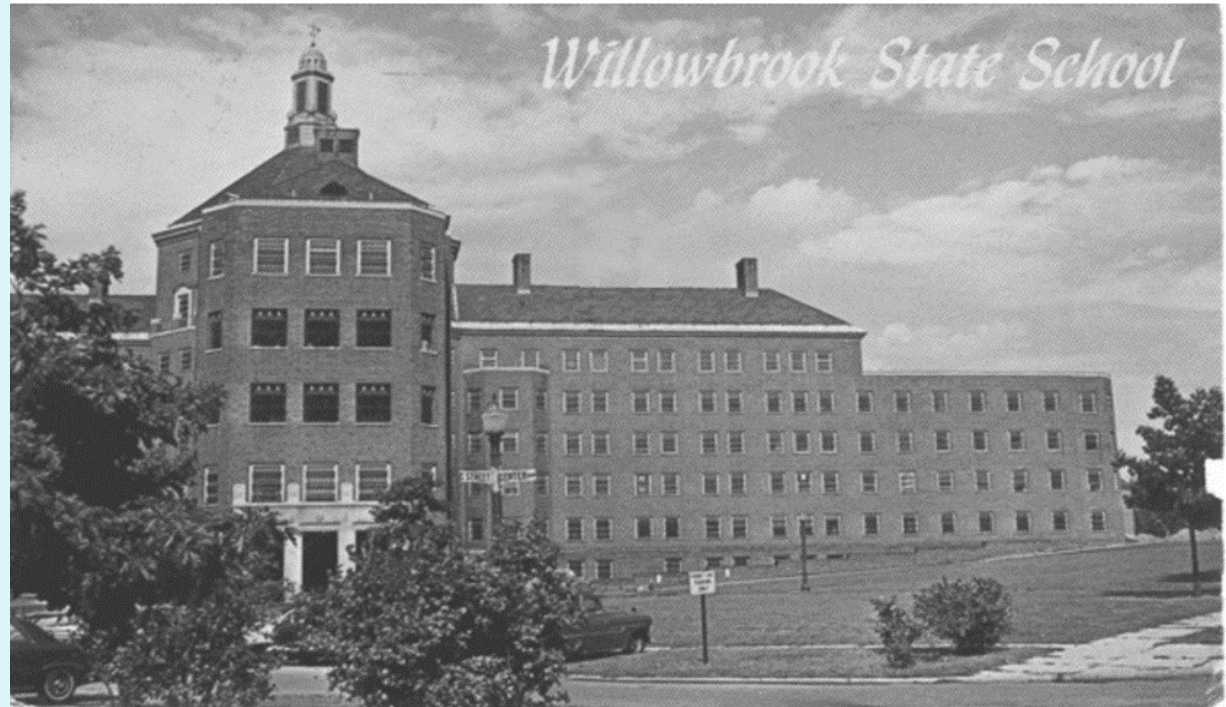


A freezing experiment at Dachau concentration camp. Dr. E. Holzlöhner, left, professor of physiology at the Medical School of the University of Kiel, and Dr. Sigmund Rascher, right, observe a victim immersed in ice water. This particular man was a political prisoner. (Trial Exhibit – Office Chief of Counsel for War Crimes, Nuremberg)

Willowbrook State School Study

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- The researchers sought to understand the natural history of infectious hepatitis and its transmission among institutionalized children with mental disorders.



کدها و راهنماهای ملی

1. راهنمای عمومی اخلاق در پژوهش‌های علوم پزشکی دارای آزمودنی انسانی در جمهوری اسلامی ایران
2. راهنمای اخلاقی کار آزمایشی‌های بالینی
3. راهنمای اخلاقی پژوهش بر گامت و رویان
4. راهنمای اخلاقی پژوهش بر سلول‌های بنیادیو پزشکی بازساختی
5. راهنمای اخلاقی در پژوهش‌های ژنتیک پزشکی
6. راهنمای اخلاقی پژوهش بر عضو و بافت انسانی
7. راهنمای اخلاقی پژوهش بر گروه‌های آسیب پذیر
8. راهنمای اخلاقی پژوهش‌های علوم پزشکی مرتبط با HIV/AIDS
9. راهنمای کشوری اخلاق در انتشار آثار پژوهشی

Ethical considerations in



A standard Research Road

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1

- **Proposing** (Research Problem/ Hypothesis & question formation/Planning method of study)

2

- **Review & Approval** (Scientific Review/Financial Review/Ethical Review)

3

- **Study Operation** (Collecting data/Analyzing data/Interpreting data)

4

- **Documentation** (Writing report/Preparing manuscript/ Submitting manuscript)

5

- **Publication** (Evaluation/Publication/Dissemination)

A standard Research Road

22

- 1 • **Proposing** (Research Problem/ Hypothesis & question formation/Planning method of study)
- 2 • **Review & Approval** (Scientific Review/Financial Review/Ethical Review)
- 3 • **Study Operation** (Collecting data/Analyzing data/Interpreting data)
- 4 • **Documentation** (Writing report/Preparing manuscript/ Submitting manuscript)
- 5 • **Publication** (Evaluation/Publication/Dissemination)

Elements of an ethical research proposal

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- Research team competency
- Valuable subject
- Valid study
- Informed consent
- Fair subject selection
- Risk-benefit assessment
- Ethical principles
- Religious, legal and cultural standards

Elements of an ethical research proposal

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- **Research team competency**
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- Valid study
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Is Research Team Competent?

25

- Research team expertise and Qualifications
- Training on Research Ethics
- Cultural Competency
- Conflict of Interest Management
- Interdisciplinary Collaboration
- Ongoing Professional Development
- Regular self-assessment & peer review

Elements of an ethical research proposal

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- Research team competency
- Valuable subject
- Valid subject
- Informed consent
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- Risk-benefit assessment
- Ethical principles

Is the research subject valuable?

27

- **Scientific value** : Scientific progression
- **Clinical value**: Improvement of patients' health or wellbeing
- **Social value** : Promotion of public health

Is the research subject valuable?

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- ✓ A research is not valuable if:
 - It is a **copy** of previously published work
 - It is not **transparent** and **reproducible**
 - It's results are not **Applicable** and **generalizable**
 - It lacks **Collaboration** and **Interdisciplinary**
 - Fails to engage with or build upon **existing literature**
 - Do not contribute **new knowledge** or insights
 - This kind of research is just **waste of time, energy, limited recourses** and a good example of **exploitation**

Elements of an ethical research proposal

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- Research team competency
- Valuable subject
- **Valid study**
- Informed consent
- Fair subject selection
- Risk-benefit assessment
- Ethical principles
- Religious, legal and cultural standards

Is the research study scientifically valid?

30

- There should be a **significant question** (equipoise)
- The **research subject** should directly align with the research question
- **Methodology** should be **valid** and **feasible**
- **Study conduct** should be based on **approved proposal**

Is the research subject scientifically valid?

31

Non-scientific research

```
graph TD; A[Non-scientific research] --> B[Unreliable results]; B --> C[Wasted resources in addition to endangering subjects]; C --> D[Unethical];
```

Unreliable results

Wasted resources in addition to
endangering subjects

Unethical

Elements of an ethical research proposal

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- Research team competency
- Valuable subject
- Valid subject
- **Informed consent**
- Religious, legal and cultural standards
- Fair subject selection
- Risk-benefit assessment
- Ethical principles

Have you obtained informed consent from participants?

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- Autonomy = Right to self determination
- Respect to participants **dignity and autonomy** (Informed consent, Confidentiality, Privacy,)
- **Informed consent** is the process by which the researcher discloses appropriate **information** to a **competent** person so that he/she may make a **voluntary** choice to accept or refuse participation.

Have you obtained informed consent from participants?

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1- Threshold elements (pre-conditions)

- Competence
- Voluntariness

2- Information elements

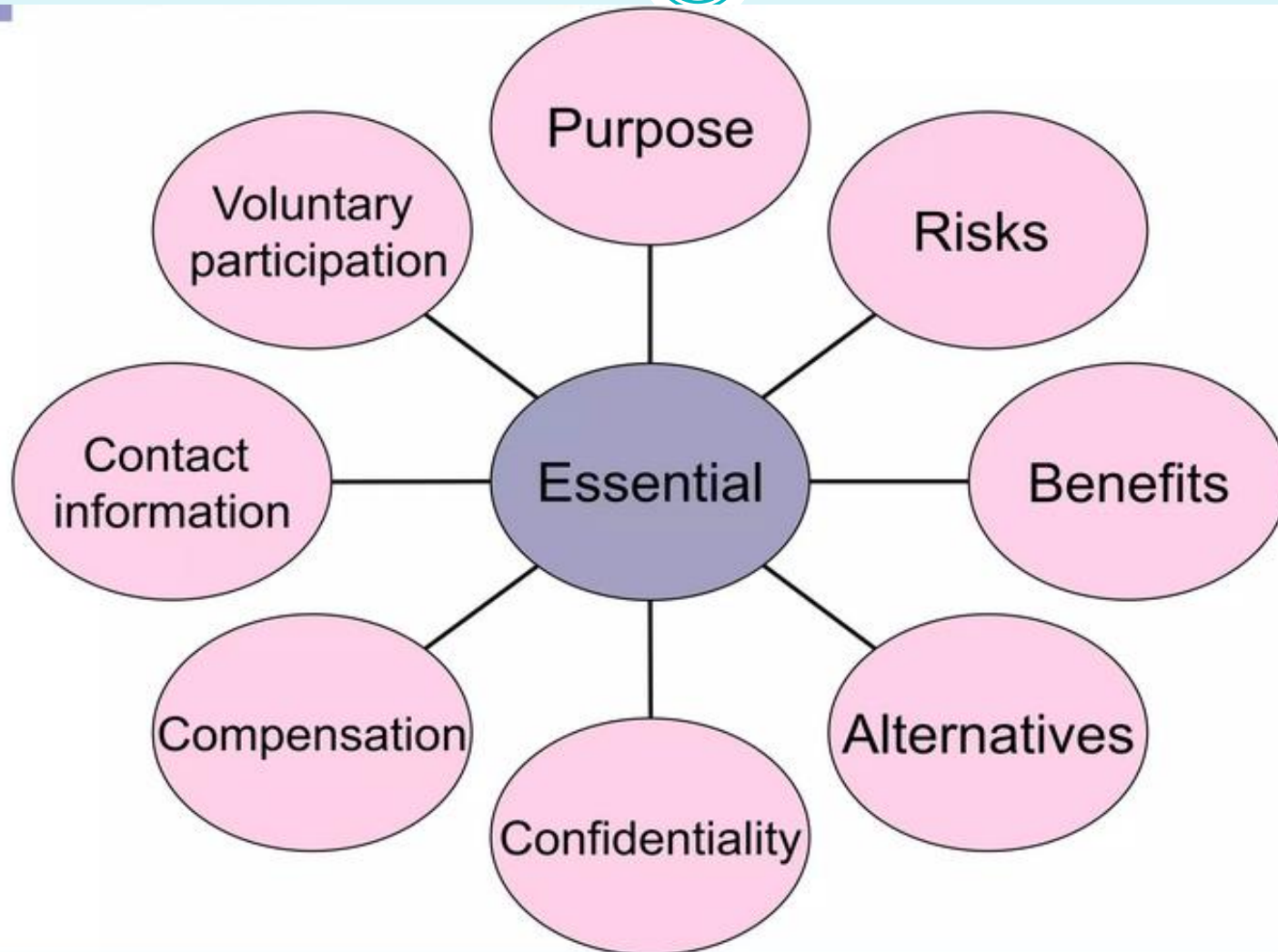
- Disclosure
- Recommendation
- Understanding

3- Consent elements

- Decision
- Authorization

Necessary elements of Informed Consent

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Have you obtained informed consent from participants?

36

- The researcher is always responsible for protecting the health of the participants and this responsibility is not eliminated by signing the informed consent form.

In order to conduct an **interventional research**, the researcher has mentioned at the end of the informed consent form of his intervention research in the part of the participant's signature:

"With full knowledge of the doctors' explanations, I declare my full satisfaction with participating in this research and declare that in case of complications, I will not have any complaints from the medical team“

Is this sentence against the rights of patients in research?

- A) Yes, acquittal in research is unethical.
- B) No, because the side effects have been told to the patient in the consent form.
- C) No, because the patient's satisfaction means the researcher's irresponsibility.
- D) It depends on the type of research intervention

Elements of an ethical research proposal

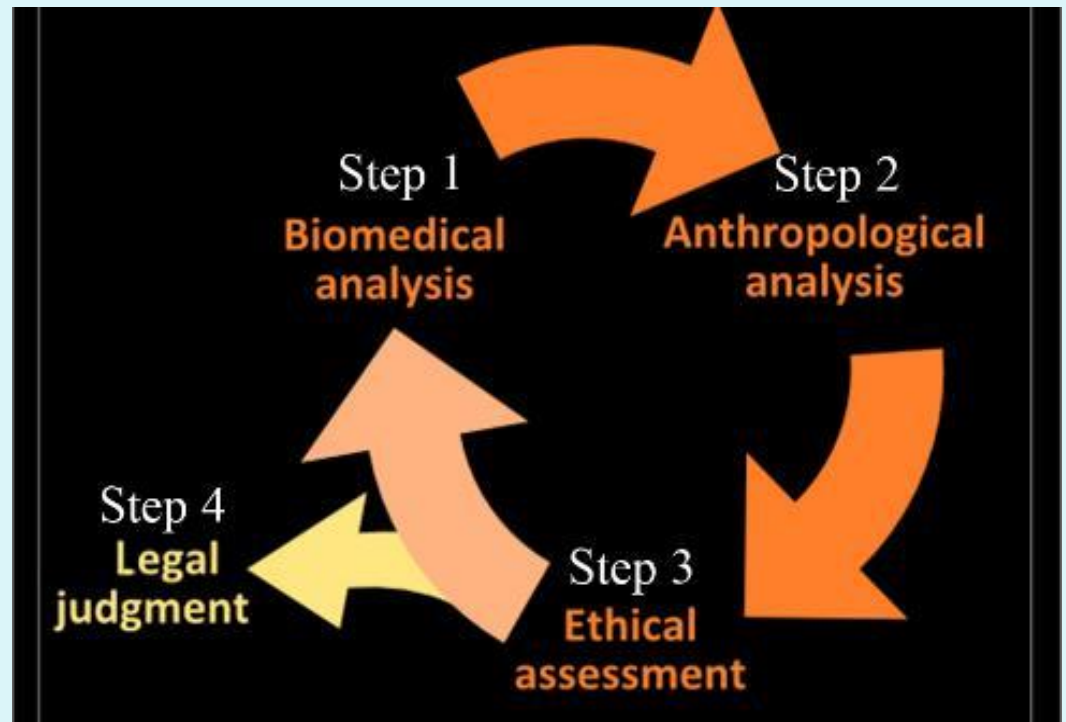
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- Research team competency
- Valuable subject
- Valid subject
- Informed consent
- **Religious, legal and cultural standards**
- Fair subject selection
- Risk-benefit assessment
- Ethical principles

Does the Research Considers:

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- 1- Religious Standards
- 2- Legal Standards
- 3- Local Sensitivities



A question

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- A dentist is conducting a research study on a new dental implant procedure with participants from diverse cultural backgrounds. She is not familiar with the cultural norms and practices of the participants. Is it ethical for her to conduct this research study?

- 1- The dentist should proceed with the study without concern about cultural differences.
- 2- It is ethical for the dentist to conduct the study since she has necessary expertise and qualification.
- 3- The dentist should consult with a cultural consultant to gain a better understanding of the cultural nuances of the participants.
- 4- The dentist should halt the study and seek guidance from an ethics committee to determine whether the lack of cultural sensitivity poses a significant risk to the participants.

Elements of an ethical research proposal

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- Research team competency
- Valuable subject
- Valid subject
- Informed consent
- Religious, legal and cultural standards
- **Fair subject selection**
- Risk-benefit assessment
- Ethical principles

Is subject selection based on a fair strategy?

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- Benefits and burdens should be distributed fairly
- Inclusion & exclusion should be based on scientific goals and align with the research objectives
- Avoiding Discrimination
- Randomization and Stratification
- Protecting vulnerable population
- Avoiding coercion
- Cultural sensitivity



Is subject selection based on a fair strategy?

45

- Research on the **vulnerable** is justified if:
 - It is **directly beneficial** to participants
 - It is just beneficial to the **peer community** but is **harmless** to participants and **informed consent** has been obtained carefully.
 - The **desired results** can not be achieved by studying other groups.



Elements of an ethical research proposal

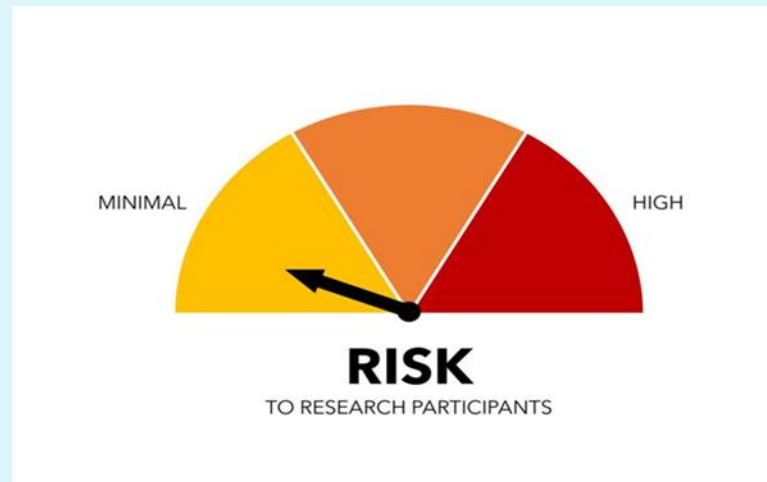
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- Research team competency
- Valuable subject
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- Informed consent
- Religious, legal and cultural standards
- Fair subject selection
- **Risk-benefit assessment**
- Ethical principles

Is risk-benefit ratio acceptable?

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- The **risks** must be minimized
- The **benefits** must be maximized
- The benefits of the study should **outweigh** the risks to **justify** the study.



Is risk-benefit ratio acceptable?

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- Acceptable risk based on research type:
 - 1- **Therapeutic research:** Less than risk of standard treatment
 - 2- **Nontherapeutic research:** Minimal risk (Phase 1 of CRT-Societal benefit vs. individual risks)



Is risk-benefit ratio acceptable?

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- **Minimal risk:** risk of death less than one in million or major side effects less than 10 in million
- **Low risk:** risk of death 1-100 in million or major side effects 10-1000 in million

Is risk-benefit ratio acceptable?

50

- How to minimize risks:
 - 1- Make sure the research team is **competent enough**
 - 2- Select **research subjects** correctly
 - 3- Select **methodology** which guarantees participants' safety
 - 4- Identify **type, level, probability and severity** of all possible risks
 - 5- Establish procedures for **monitoring, reporting, and addressing adverse events** promptly

Is risk-benefit ratio acceptable?

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- How to minimize risks:
 - 5- Monitor patients during the study carefully
 - 6- Stop research in case of any serious danger
 - 7- Prepare supportive measures in case of any danger
 - 8- Be ready for immediate decoding
 - 9- Monitor patients for a long-term and intermittently if necessary

مدت	درمان	تاثیر	انواع آسیب
قابل چشم پوشی			
دقیقه تا ساعت	شاید دارو	تداخل با توان فرد برای دنبال کردن اهداف مینور زندگی	تهوع خفیف
دقیقه تا ساعت، ۱۰ روز	ضد عفونی و بانداژ	درد خفیف	خراش یا کبودی پوست
کوچک			
ساعت	شاید دارو، استراحت، یا هر دو	درد متوسط، عدم توان دنبال کردن اهداف مینور(مثل کوه نوردی) یا ماژور (شرکت در مدرسه)	سردرد
چند ساعت	دارو، استراحت، یا هر دو	ناراحتی، عدم توان دنبال کردن اهداف مینور(دیدن موزه) یا ماژور (شرکت در محل کار)	سرماخوردگی
متوسط			
درد ساعتها، بهبود در عرض هفته ها تا ماهها	دارو، گچ گیری	درد متوسط، عدم توان دنبال کردن اهداف مینور(ورزش)	شکستگی ساده استخوان
هفته های متناوب	تغییر رویه زندگی، و دارو	آزاردهنده، عدم توان دنبال کردن اهداف مینور(دیدن دوستان) یا ماژور (شرکت در محل کار)	بیخوابی متوسط برای ۱ ماه

مدت	درمان	تاثیر	انواع آسیب
			جدی (اساسی)
پارگی (ساعت تا روز) و بازپروری پس از جراحی هفته ها تا ماهها	جراحی و بازپروری	درد متوسط، عدم توان دنبال کردن اهداف مینور(ورزش) ناتوانی دائمی در انجام ورزش و نیاز به عادت کردن	پاره شدن لیگامان زانو با ناتوانی دائمی
هفته ها		درد شدید و مشکلات فیزیکی، عدم امکان انجام فعالیتهای روتین و دنبال کردن اهداف مینور و مازور	مراقبت ویژه برای چند هفته اما بدون شکل
			ماژور
هفته ها تا ماهها	دارو، تغییر اهداف مازور زندگی (کار)	تغییر شخصیت و روابط فرد، تاثیر در فعالیتهای روزانه و اهداف مینور و مازور	اپیزودهای سایکوتیک
سالها	دارو، فیزیوتراپی، عادت کردن	درد شدید، و سفتی، عدم توان دنبال کردن اهداف مینور و مازور (تعطیلات و کار) و فعالیتهای روزانه	آرتریت روماتوئید
دائم		عدم تعادل دست، تداخل با بسیاری از فعالیتهای روزانه، تداخل با اهداف مینور و مازور، نیاز به عادت	از دست دادن انگشت

مدت	درمان	تاثیر	انواع آسیب
شدید			
دهه ها	دارو	افسردگی به همراه سایر علائم مربوطه، تداخل با اهداف زندگی، و فعالیت، اضطراب و تغییر مود	افسردگی ماژور
دائمی	مراقبت روزانه و روتین	عدم امکان فعالیت و دنبال کردن اهداف مثل فرزند آوری و پرورش فرزند	پاراپلژی
کاتاستروفیک			
دائمی	مراقبت همه جانبه	عدم امکان فعالیت	فراموشی شدید
مرگ			

A question

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- A nurse is caring for a patient who is at high risk for developing pressure sores. The nurse is aware of a new pressure sore prevention device that is very effective, but it is also very expensive. The nurse is unsure whether the benefits of the device outweigh the costs, especially for this patient, who is on a limited income.
- What is the ethical fault in the nurse's risk benefit assessment?

- A) The nurse is not considering the patient's individual needs and circumstances.
- B) The nurse is not weighing the risks and benefits of the device carefully.
- C) The nurse is not considering the financial costs of the device.
- D) The nurse is not considering the patient's right to self-determination.

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- Research team competency
- Valuable subject
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- Informed consent
- Religious, legal and cultural standards
- Fair subject selection
- Risk-benefit assessment
- **Ethical principles**

Confidentiality & Privacy



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- Implement **secure methods** for collecting, handling, and storing participant data.
- **Anonymize data** when possible, ensuring that individual participants **cannot be identified**.
- Clearly communicate **the extent to which participant information will be kept confidential** during the informed consent process.
Address any potential limitations to confidentiality.



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از توجه شما
سپاسگزارم

